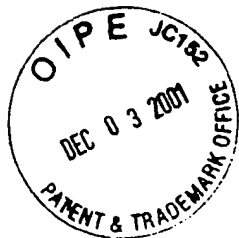


Section 4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
<b>RESPONSE TO NOTICE OF MISSING PARTS</b>	Atty. Docket No. (Opt.) <b>OBJ1110-8</b>
Applicant: <b>Stephen R. Kennon</b>	
Application Number <b>09/896,137</b>	Filed <b>June 29, 2001</b>
For: <b>Method and System for Solving Finite Element Models using Multi-Phase Physics</b>	
Group Art Unit <b>2121</b>	Confirmation Number: <b>5456</b>



**BOX: Missing Parts**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

**Certification Under 37 C.F.R. §1.8**

I hereby certify that the documents listed below are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on October 19, 2001.

*Janice Pampell*  
Janice Pampell

In response to the Notice to File Missing Parts of Application which was granted a Filing Date of June 29, 2001, and mailed to us on August 21, 2001, applicants submit herewith:

1. Copy of Notice to File Missing Parts of Application;
2. Check in the amount of \$462.00 representing the initial filing fee of \$370.00, \$27.00 for three extra claims over twenty, and \$65.00 for the surcharge for a small entity;
3. Executed Declaration and Power of Attorney;
4. Executed Assignment, Recordation Cover Sheet, and \$40.00 check.

While Applicant believes no further fees and due and owing, the Commissioner is hereby authorized to deduct any deficiency or credit any overpayment to Deposit Account 50-0456 of Gray Cary Ware & Freidenrich LLP.

Respectfully submitted,

**Gray Cary Ware & Freidenrich LLP**  
Attorneys for Applicant

*Mark L. Berrier*  
Mark L. Berrier  
Reg. No. 35,066

Dated: October 19, 2001

1221 So. MoPac Expressway, Suite 400  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/896,137	06/29/2001	Stephen R. Kennon	OBJ1110-8

CONFIRMATION NO. 5456

25094  
GRAY, CARY, WARE & FREIDENRICH LLP  
1221 SOUTH MOPAC EXPRESSWAY  
SUITE 400  
AUSTIN, TX 78746-6875

## FORMALITIES LETTER



\*OC000000006455963\*

Date Mailed: 08/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$27.
  - \$27 for 3 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 447.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/05/2001 AWONDAF1 00000081 09896137

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:203	27.00 OP

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ASST. COMMISSIONER OF PATENTS  
WASHINGTON, D.C. 20231

*Box: missing parts*